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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

James R. Albritton

Filed:

May 21, 2003

Title:

Breakaway Support Post for

Highway Guardrail End Treatments

Parent Application:

Serial No.:

09/074,496 May 7, 1998

Group Art Unit:

3629

Examiner:

J. Cottingham

Title:

Filed:

Breakaway Support Post for Highway

Guardrail End Treatments

HONORABLE ASSISTANT COMMISSIONER
OF PATENTS

WASHINGTON, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail EV334589071US addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on the date shown below.

Shannon Judice

Date

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant respectfully requests, pursuant to 37 C.F.R. \$\\$ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form, and previously cited in U.S. Application Serial No. 09/074,496, filed on May 7, 1998 by James R. Albritton and entitled " Breakaway Support Post for Highway Guardrail End Treatments," be considered and cited in the examination of the above-identified continuation patent application. Pursuant to 37 C.F.R. \$ 1.98(d), copies of these references are not being furnished. Furthermore, pursuant to 37 C.F.R. \$\$1.97(g) and (h), no representation is made that these references are material to the patentability of the present application.

2

Applicant believes no fees are due, however, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.

Thomas R. Felger

Reg. No. 28,842

Baker Botts L.L.P. 2001 Ross Avenue, Suite 600 Dallas, Texas 75201-2980 512.322.2599

Date.

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PATENT TRADEMARK OFFICE

Application No. Applicant(s) PTO-1449 Unknown James R. Albritton Information Disclosure Citation **Docket Number** Group Art Unit Filing Date FEB 0 9 2004 in an Application May 21, 2003 091078.1236 Unknown PATENT DOCUMENTS ALENT & TR. DOCUMENT NO. DATE **NAME CLASS SUBCLASS** FILING DATE Α 79141 6/1868 **McFarlin** В 398078 2/1889 A.C. Peterson C 446852 2/1891 Davis 10/1890 D 629185 7/1899 Arnold 1/1899 E 1335302 3/30/20 Stout 3/6/18 F 1473118 11/06/23 Miller-Masury 08/26/22 G 1677796 7/1928 **Parks** 248 548 2/18/26 Н 2089929 08/1937 Brickman et al. 256 13.1 08/24/34 I 2091195 08/1937 Dennebaum 267 69 05/08/36 J 2135705 11/1938 **Florance** 256 13.1 08/10/35 Κ 2146445 02/1939 Russert et al. 169 29 04/16/37 L 2309238 01/26/43 Corey 287 99X 04/11/42 Dlugosch M 2735251 2/1956 55 159 2/1953 N 2776116 01/1957 Brickman 256 13.1 10/29/53 FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT NO. DATE **COUNTRY CLASS SUBCLASS** YES NO 0 98/50637 12/98 WO E01F 15/04 X P 2023695A 01/03/80 GB E₁B E1G X Q 41444 05/28/62 LU Х R 11/20/65 1534526 DE 19e 15/00 X NON-PATENT DOCUMENTS DOCUMENT (Including Author, Title, Source, and Pertinent Pages) DATE S International Preliminary Examination Report for PCT/US99/30463 March 21, 2001 T International Preliminary Examination Report for PCT/US98/09029 July 12, 2000 U International Search Report for PCT/US99/30463 04/28/00 **EXAMINER** DATE CONSIDERED EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

FEB 0 9 2004 55

PTO-1449

Information Disclosure Citation in an Application

Application No.

Unknown

Docket Number

O91078.1236

Applicant(s)

James R. Albritton

Group Art Unit

May 21, 2003

Unknown

U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
A	3308584	03/14/67	Graham	52	98X	05/23/63
В	3332666	07/25/67	Gray	256	13.1	02/08/65
С	3349531	10/31/67	Watson	52	296X	07/16/64
D	3385564	05/28/68	Persicke	256	13.1	06/18/65
Е	3417965	12/24/68	Gray	256	13.1	05/25/67
F	3450233	06/1969	Massa	188	1	05/11/67
G	3499630	3/10/70	Dashio	256	13.1	5/7/68
Н	3519301	07/1970	Somnitz	293	1	04/10/68
I	3521917	07/28/70	King	287	111X	05/20/68
J	3567184	03/1971	Yancey	256	13.1	09/19/69
K	3606222	9/1971	Howard	52	98x	9/20/71
L	3637244	01/25/72	Strizki	287	129	3/27/70
М	3643924	02/1972	Fitch	256	13.1	09/24/70

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 	DOCUMENT NO.	DATE	COUNTRI	CLASS	SUBCLASS	YES	NO
 N	2546932	06/1983	FR	E01F	15/00	х	
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 P	3708861	10/1988	DE	E01F	15/00	х	
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R	International Search Report for PCT/US98/09029	09/01/98
S	Written Opinion for PCT/US99/30463	11/22/00
Т	Written Opinion for PCT/US98/09029	March 29, 2000
U	ET-2000 The Future of Highway Safety - SYRO (Printed in December 1990; revised October 1992)	10/92

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PTO-1449

Information Disclosure Citation in an Application

Application No.	A	pplicant(s)	
Unknown	Ja	mes R. Albritton	
Docket Number		Group Art Unit	Filing Date
091078.1236		Unknown	May 21, 2003

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А	3680448	08/01/72	Ballingall et al.	52	169.12	09/21/70
В	3693940	09/26/72	Kendall et al.	256	1	12/08/70
С	3768781	10/1973	Walker et al.	256	1	03/30/72
D	3776520	12/1973	Charles et al.	256	13.1	11/06/72
Е	3820906	6/28/74	Katt	403	2	8/10/72
F	3846030	11/5/74	Katt	403	2	8/10/72
G	3912404	10/14/75	Katt	403	2	01/02/75
Н	3925929	12/16/75	Montgomery	49/35	404/6	12/16/75
. I	3951556	4/20/76	Strizki	403	2	5/16/74
J	3967906	7/6/76	Strizki	403	2	5/2/75
К	3981486	09/21/76	Baumann	256	13.1	01/29/73
L	4063713	12/1977	Anolick et al	256	13.1	01/26/77
М	4071970	2/7/78	Strizki	40	607	4/27/76

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 	DOCUMENT NO.	DATE	COUNTRI	CLASS	SUBCLASS	YES	NO
 N	A-21844/88	11/01/90	Australia	E01F	13/00	Х	
0	2 386 667	04/08/77	FR	Е04Н	12/22		X
P	40465	05/28/62	LU			X	
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 S	Bronstad, et al., Modified Breakaway Cable Terminals for Guardrails and Median Barriers, Research Results Digest, NCHRP, Transportation Research Board, Digest 12	May 1978
Т	U.S. Patent Application Publication No. US 2001/0013596 dated August 16, 2001 for U.S. Patent Application Serial Number 09/410,635 filed October 1, 1999 by Dean L. Sicking et al.	August 16, 2001
 U	U.S. Patent Application Publication No. US 2002/0007994 dated January 24, 2002 for U.S. Patent Application Serial Number 09/935,949 filed August 23, 2001 by John D. Reid et al. Assignee: Safety By Design Company	January 24, 2002

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PTO-1449

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Application No.	Applicant(s)	
Unknown	James R. Albritton	
Docket Number	Group Art Unit	Filing Date
	1	May 21, 2003
091078.1236	Unknown	

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A	4126403	11/21/78	Sweeney et al.	403	2	06/22/77
В	4183695	1/15/80	Wilcox	404/6	256/13.1	8/30/78
С	4236843	12/1980	Chisholm	403	2	4/21/78
D	4269384	5/26/81	Saeed et al.	248	548	5/7/79
Е	4278228	07/14/81	Rebentisch et al.	248	548	05/12/80
F	4295637	10/1981	Huleck	256	13.1	01/15/80
G	4330106	05/1982	Chisolm	256	13.1	01/26/77
Н	4389134	06/1983	Colas	403	263	02/03/81
I	4399980	08/1983	van Schie	256	13.1	06/23/81
J	4490062	12/25/84	Chisholm	403	2	12/01/80
K	4583716	04/1986	Stephens et al.	256	13.1	05/19/82
L	4607824	08/1986	Krage et al.	256	13.1	01/11/83
М	4646489	3/1987	Feller et al.			2/7/86
N	4655434	04/1987	Bronstad	256	13.1	04/24/86

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L		DOCUMENT NO.	DATE	COUNTRI	CLASS	SUBCLASS	YES	NO
	0	40465	10/02/61	LU			X	
	P	10 18255	1/1988	JA				
	Q	2,167,548	7/1996	CA (Patent Application)	E01F	15/00	х	
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Information Disclosure Citation in an Application

PTO-1449

Application No. Applicant(s)

Unknown James R. Albritton

Docket Number Group Art Unit Filing Date
May 21, 2003

Unknown Unknown

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Α	4678166	07/1987	Bronstad et al.	256	13.1	04/24/86
В	4729690	03/08/88	Lavender et al.	404	10	11/10/86
С	4784515	11/1988	Krage et al.	404	6	02/23/98
D	4815565	03/1989	Sicking et al.	188	32	12/15/86
Е	4838523	06/13/89	Humble et al.	256	13.1	07/25/88
F	4852847	8/1989	Pagel	248	548	8/1987
G	4923319	5/8/90	Dent	403	2	6/22/89
Н	4926592	05/22/90	Nehls	52	98	02/10/89
I	4928446	5/1990	Alexander, Sr.	42	98	5/29/90
J	4928928	05/1990	Buth, et al.	256	13.1	01/12/88
K	4986687	01/1991	Ivey	403	2	05/18/89
L	5022782	06/1991	Gertz et al.	404	6	12/18/89
М	5078366	01/1992	Sicking et al.	256	13.1	03/05/90
N	5203543	04/1993	Fleury	256	13.1	05/07/92

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PTO-1449

Information Disclosure Citation

Application No.	Applicant(s)	
Unknown	James R. Albritton	_
Docket Number	Group Art Unit	Filing Date
001070 1026		May 21, 2003
091078.1236	Unknown	<u></u>

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С	5391016	02/1995	Ivey et al.	404	6	08/11/92
D	5407298	04/1995	Sicking et al.	404	6	06/15/93
Е	5484217	1/1996	Carroll et al.	403	2	7/15/94
F	5503495	04/1996	Mak et al.	404	6	06/06/95
G	5547309	08/1996	Mak et al.	404	6	12/22/94
Н	5657966	08/19/97	Cicinnati	256	13.1	04/18/96
I	5664905	9/1997	Thompson et al.	256	13.1x	8/4/93
J	5797591	08/25/98	Krage	256	13.1	04/25/97
K	5957435	9/28/99	Bronstad	256	13.1	7/11/97
L	5988598	11/23/99	Sicking et al.	256	13.1	11/4/98
М	6065894	5/23/00	Wasson et al.	403	2	7/10/96
N	6210066	4/3/01	Dent	403	2	10/27/98
0	6398192	6/4/02	Albritton	256	13.1	7/19/99
P	6409156	6/25/02	Dent	256	13.1	1/30/01

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PTO-1449

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Unknown	James R. Albritton
Docket Number	Group Art Unit Filing Date May 21, 2003
091078.1236	Unknown

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В	3617076	11/2/71	Atwood et al.	287	51	8/27/69
С	3711881	1/23/73	Chapman et al.	14	71	7/28/70
D	5595470	1/21/97	Berkey et al.	414	495	3/7/94
Е	5775675	7/7/98	Sicking et al.	256	13.1	4/2/97
F	5924680	7/20/99	Sicking et al.	256	13.1	4/21/98
G	5931448	8/3/99	Sicking et al.	256	13.1	12/26/96
Н	6022003	2/8/00	Sicking et al.	256	13.1	11/7/94
I	6109597	8/29/00	Sicking et al.	256	13.1	4/21/98
J	6129342	10/10/00	Bronstad	256	13.1	7/11/97
K	6220575	4/24/01	Lindsay et al.	256	13.1	1/18/95
L	6244571	6/12/01	Reid et al.	256	13.1	1/27/99
М	6254063	7/3/01	Rohde et al.	256	13.1	8/23/99
N	6260827	7/17/01	Sicking et al.	256	13.1	1/5/96
0	6308809	10/30/01	Reid et al.	188	377	5/7/99
P	6416041	7/9/02	Sicking et al.	256	13.1	12/26/96
Q	6488268	12/3/02	Albritton	256	13.1	4/12/01

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